# INTRODUCTION TO HECKE ALGEBRAS

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Let F be a non-archimedean local field with ring of integers  $\mathfrak{o}$ , maximal ideal  $\mathfrak{p}$ , uniformizer  $\varpi \in \mathfrak{p}$  and residue field  $\mathbb{F}_q$ . Let G be a split connected algebraic reductive group over F, with split maximal torus A and Borel subgroup B = AN. Let  $\mathcal{K} = G(\mathfrak{o})$  be a hyperspecial maximal open compact subgroup of G. Let W be the Weyl group of G, that is, the normalizer of A in G modulo A.

Let  $X_*(A)$  denote the cocharacter group

$$X_*\left(A\right) = \operatorname{Hom}\left(\mathbb{G}_m, A\right) = \left\{\mu^{\vee} \colon F^{\times} \to A \mid \mu^{\vee} \text{ algebraic character}\right\}.$$

For an element  $\mu^{\vee} \in X_*(A)$  we denote  $\overline{\omega}^{\mu^{\vee}} \coloneqq \mu^{\vee}(\overline{\omega})$ . The map  $\mu \mapsto \overline{\omega}^{\mu^{\vee}}$  defines an isomorphism

$$X_*(A) \to A(\varpi) \coloneqq A/A(\mathfrak{o}),$$

where  $A(\mathfrak{o}) = A \cap \mathcal{K}$ .

**Example 1.** When  $G = GL_n$ ,

$$A = \left\{ \begin{pmatrix} a_1 & & \\ & \ddots & \\ & & a_n \end{pmatrix} \mid a_1, \dots, a_n \in F^{\times} \right\}$$

and

$$X_*\left(A\right) \cong \mathbb{Z}^n$$

by the isomorphism sending

$$\mathbb{Z} \ni (k_1, \dots, k_n) \mapsto \mu_{(k_1, \dots, k_n)}^{\vee} (x) = \begin{pmatrix} x^{k_1} & & \\ & \ddots & \\ & & x^{k_n} \end{pmatrix}.$$

In this case,

$$A(\varpi) = A/A(\mathfrak{o}) \cong \left\{ \begin{pmatrix} \varpi^{k_1} & \\ & \ddots & \\ & & \varpi^{k_n} \end{pmatrix} \mid k_1, \dots, k_n \in \mathbb{Z} \right\},\$$

where

$$A\left(\mathfrak{o}\right) = \left\{ \begin{pmatrix} a_1 & & \\ & \ddots & \\ & & a_n \end{pmatrix} \mid a_1, \dots, a_n \in \mathfrak{o}^{\times} \right\}.$$

It is clear that the map  $X_*(A) \to A(\varpi)$  given by  $(k_1, \ldots, k_n) \mapsto \mu^{\vee}_{(k_1, \ldots, k_n)}(\varpi)$  is an isomorphism.

For any compact open subgroup  $K \subset G$  let

$$\mathcal{H}\left(G \not /\!\!/ K\right) = \left\{f \in C^{\infty}_{c}\left(G\right) \mid f\left(kgk'\right) = f\left(g\right), \, \forall g \in G, k, k' \in K\right\}.$$

A basis for  $\mathcal{H}(G /\!\!/ K)$  is given by the characteristic functions  $\{\chi_{KgK}\}_g$  where g runs over all the representatives of cosets of  $K \setminus G/K$ .

The space  $\mathcal{H}(G /\!\!/ K)$  is an algebra with convolution as multiplication:

$$(f_1 * f_2)(g) = \int_G f_1(x^{-1}) f_2(xg) dx.$$

## 1. Spherical Hecke Algebra

Recall the Cartan decomposition: we have that

$$G = \bigcup_{\substack{\mu^{\vee} \\ \mu^{\vee} \text{ is dominant}}} \mathcal{K} \cdot \varpi^{\mu^{\vee}} \cdot \mathcal{K}.$$

It follows from applying Gelfand's trick to an involution based on the Chevalley data, that  $\mathcal{H}(G /\!\!/ \mathcal{K})$  is commutative (the involution should act trivially on  $\varpi^{\mu^{\vee}}$  for any  $\mu^{\vee}$  and send  $\mathcal{K}$  to itself).

**Example 2.** If  $G = \operatorname{GL}_n(F)$ , then  $\mu_{(k_1,\ldots,k_n)}^{\vee}$  is dominant if and only if  $k_1 \ge k_2 \ge \cdots \ge k_n$ . The involution in this case is  $x \mapsto {}^tx$ . In this case, under the isomorphism above, every dominant cocharacter can be written as a product of the form

 $a_1^{i_1} \cdot a_2^{i_2} \cdot \cdots \cdot a_n^{i_n},$ 

where  $i_1, \ldots, i_n \in \mathbb{Z}$  and  $i_1, \ldots, i_{n-1} \ge 0$ , and for  $1 \le j \le n$ ,

$$a_j = \begin{pmatrix} \varpi I_j & \\ & I_{n-j} \end{pmatrix}.$$

The spherical Hecke algebra  $\mathcal{H}(G /\!\!/ \mathcal{K})$  can be realized as compactly supported  $\mathbb{C}$ -valued functions on  $A(\varpi)$ , that are invariant under the action of the Weyl group W.

If  $K \subset \mathcal{K}$  is an arbitrary compact open subgroup, then  $\mathcal{H}(G /\!\!/ K)$  is not commutative when  $K \neq \mathcal{K}$ . However, we have the following relation. If  $\mu_1^{\vee}$  and  $\mu_2^{\vee}$  are dominant cocharacters then

$$\chi_{K \cdot \varpi^{\mu_1^{\vee}} \cdot K} \ast \chi_{K \cdot \varpi^{\mu_2^{\vee}} \cdot K} = \chi_{K \cdot \varpi^{\mu_1^{\vee}} \varpi^{\mu_2^{\vee}} \cdot K} = \chi_{K \cdot \varpi^{\mu_1^{\vee} \mu_2^{\vee}} \cdot K}$$

Let  $R_K^+$  be the algebra generated by the elements  $\chi_{K \cdot \varpi^{\mu^{\vee}} \cdot K}$ , where  $\mu^{\vee}$  goes over all the dominant cocharacters. Then  $R_K^+$  is a commutative subalgebra of  $\mathcal{H}(G /\!\!/ K)$ . Using the Cartan decomposition, we may decompose

$$\mathcal{H}\left(G \ /\!\!/ \ K\right) = \mathcal{H}\left(\mathcal{K} \ /\!\!/ \ K\right) * R_{K}^{+} * \mathcal{H}\left(\mathcal{K} \ /\!\!/ \ K\right).$$

Here,  $\mathcal{H}(\mathcal{K} /\!\!/ K)$  is a finite-dimensional algebra, consisting of functions  $\mathcal{K} \to \mathbb{C}$  bi-invariant under K, and  $R_K^+$  is abelian. This shows that  $\mathcal{H}(\mathcal{K} /\!\!/ K)$  breaks into two pieces: a small (finite-dimensional) non-commutative one, and a large (infinite-dimensional) abelian one.

Remark 3. An important feature that we will not discuss is the Jacquet functor. Suppose that  $P = MU \subset G$  is a proper parabolic subgroup with Levi part M, and  $U^-$  is the radical opposite to U. Suppose that we have a Iwahori-type decomposition[1, Lemma 3.11]

$$K = (K \cap U^{-}) (K \cap M) (K \cap U).$$

(for instance, this holds for  $K = \mathcal{K}$  and  $K = \mathcal{I}$  from the next section). Let  $\pi$  be an admissible representation of G. Let

$$J_{U}(\pi) = \pi / \operatorname{span}_{\mathbb{C}} \left\{ \pi \left( u \right) v - v \mid v \in \pi, u \in U \right\}.$$

Then the quotient map

 $\pi \to J_U(\pi)$ 

defines a surjection for the subspaces of K-fixed vectors:

$$\pi^K \to J_U(\pi)^{K \cap M}$$

This can be used to show that certain representations can be embedded as subrepresentations of principal series representations. See for example [4, Section 12].

### 2. IWAHORI-MATSUMOTO HECKE ALGEBRA

Consider the quotient map

$$\nu \colon \mathcal{K} \to G\left(\mathbb{F}_{q}\right).$$

The inverse image of  $B(\mathbb{F}_q)$  under this map is called the *Iwahori subgroup* of G(F). We denote it by  $\mathcal{I}$ . Assume henceforth that the Haar measure is normalized so that  $\mathcal{I}$  has measure 1.

**Example 4.** If  $G = GL_n$  then

$$\mathcal{I} = \nu^{-1} \left( B \left( \mathbb{F}_q \right) \right) = \left\{ \begin{pmatrix} \mathfrak{o}^{\times} & \mathfrak{o} & \mathfrak{o} & \cdots & \mathfrak{o} \\ \mathfrak{p} & \mathfrak{o}^{\times} & \mathfrak{o} & \cdots & \mathfrak{o} \\ \mathfrak{p} & \mathfrak{p} & \ddots & \ddots & \vdots \\ \vdots & \vdots & \ddots & \mathfrak{o}^{\times} & \mathfrak{o} \\ \mathfrak{p} & \mathfrak{p} & \cdots & \mathfrak{p} & \mathfrak{o}^{\times} \end{pmatrix} \right\}.$$

Let  $\tilde{W} = W \rtimes A(\varpi)$  be the extended affine Weyl group. We have the following Bruhat– Iwahori decomposition:

$$G = \bigcup_{x \in \tilde{W}} \mathcal{I} \cdot x \cdot \mathcal{I}.$$

It follows that  $\{\chi_{\mathcal{I}\cdot x\cdot \mathcal{I}}\}_{x\in \tilde{W}}$  forms a basis for  $\mathcal{H}(G \not|\!/ \mathcal{I})$ .

The group  $\hat{W}$  is almost a Coxeter group. It has a well-known standard presentation. In general,

$$W = W_{\text{aff}} \rtimes \pi_1(G)$$

where  $\pi_1(G)$  is the fundamental group of G and  $W_{\text{aff}}$  is the affine Weyl group, generated by all affine reflections.  $W_{\text{aff}}$  has a standard presentation as a Coxeter group.

**Example 5.** When  $G = GL_n$ , we have that  $W_{\text{aff}}$  is generated by  $s_1, \ldots, s_n$  satisfying the relations

(1)  $s_j^2 = 1$ . (2)  $s_j s_k = s_k s_j$  if  $j - k \not\equiv 0, \pm 1 \pmod{n}$ . (3)  $s_j s_{j+1} s_j = s_{j+1} s_j s_{j+1}$ , where j + 1 is taken modulo n. We have that  $\pi_1(GL_n) \cong \mathbb{Z}$  and that if  $h \in \pi_1(GL_n)$  is a generator, then for any j

$$hs_{i}h^{-1} = s_{i+1},$$

where j + 1 is taken modulo n.

We may choose the following matrices to represent these elements: for  $1 \leq j \leq n-1$ , choose for  $s_j$  the permutation matrix that swaps the columns at positions j and j+1. Choose

$$s_n = \begin{pmatrix} & \overline{\omega}^{-1} \\ \overline{\omega} & & \end{pmatrix}$$
$$h = \begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix}.$$

and

There is a notion of the length of an element in  $\tilde{W}$ : since  $W_{\text{aff}}$  is a Coxeter group, it comes with a length function  $\ell: W_{\text{aff}} \to \mathbb{Z}_{\geq 0}$  and we denote by  $\ell: \tilde{W} \to W_{\text{aff}} \to \mathbb{Z}_{\geq 0}$  the length function of W.

**Example 6.** If  $G = \operatorname{GL}_n$  then any element in  $\tilde{W}$  can be written in the form

$$w = h^m \cdot s_{i_1} \cdot s_{i_2} \cdot \dots \cdot s_{i_r},$$

where  $m \in \mathbb{Z}$ . We denote by  $\ell(w)$  the minimal r such that w can be written in such form. Such presentation of w is called a *reduced expression*.

The elements of  $\mathcal{H}(G /\!\!/ \mathcal{I})$  corresponding to the generators of  $\tilde{W}$  generate  $\mathcal{H}(G /\!\!/ \mathcal{I})$  as an algebra. They satisfy the corresponding Hecke algebra relations.

**Example 7.** If  $G = GL_n$ , let us define for any  $w \in \tilde{W}$ 

$$f_w = \chi_{\mathcal{I} \cdot w \cdot \mathcal{I}}.$$

Then we have the following relations:

(1)  $f_{s_j} * f_{s_j} = (q-1) f_{s_j} + q$ . This can also be written as

$$(f_{s_i} - q) * (f_{s_i} + 1) = 0.$$

- (2)  $f_{s_j} * f_{s_k} = f_{s_k} * f_{s_j}$  if  $j k \not\equiv 0, \pm 1 \pmod{n}$ . (3)  $f_{s_j} * f_{s_{j+1}} * f_{s_j} = f_{s_{j+1}} * f_{s_j} * f_{s_{j+1}}$ , where j + 1 is taken modulo n. (4)  $f_{h^{-1}} = f_h^{-1}$ . (5)  $f_h * f_{s_j} * f_h^{-1} = f_{s_{j+1}}$ , where j + 1 is taken modulo n.

*Remark* 8. We may attach to  $W_{\text{aff}}$  an Iwahori–Matsumoto Hecke algebra  $\mathcal{H}_v(W_{\text{aff}})$ . It is an algebra over  $\mathbb{Z}[v, v^{-1}]$ . The Iwahori–Matsumoto Hecke algebra is generated by the generators  $T_s$  for any generator s of  $W_{\rm aff}$  and is subject to their relations, where we modify the quadratic relations  $s^2 = 1$  to be

$$(T_s - v) \left( T_s + v^{-1} \right) = 0,$$

for every quadratic generator s of  $W_{\text{aff}}$ . Then Iwahori and Matsumoto proved that if G is semisimple then  $\mathcal{H}(G /\!\!/ \mathcal{I})$  is isomorphic to  $\mathcal{H}_{a^{\frac{1}{2}}}(W_{\text{aff}})$ . More generally, we have that

$$\mathcal{H}\left(\mathcal{K} /\!\!/ \mathcal{I}\right) \cong \mathcal{H}_{q^{\frac{1}{2}}}\left(W_{\mathrm{aff}}\right)$$

and that

$$\mathcal{H}(G /\!\!/ \mathcal{I}) \cong \mathcal{H}(\mathcal{K} /\!\!/ \mathcal{I}) \otimes_{\mathbb{Z}\left[q^{\frac{1}{2}}, q^{-\frac{1}{2}}\right]} R_{\mathcal{I}},$$

by the isomorphism sending

$$\chi_{\mathcal{I}\cdot w\cdot \mathcal{I}}\otimes f\mapsto \chi_{\mathcal{I}\cdot w\cdot \mathcal{I}}*f.$$

See the definition of  $R_{\mathcal{I}}$  below.

## 2.1. Bernstein–Zelevinsky relation. Denote for $w \in W$ ,

$$f_w = \chi_{\mathcal{I} \cdot w \cdot \mathcal{I}}.$$

Recall that  $R_{\mathcal{I}}$  is the subalgebra of  $\mathcal{H}(G /\!\!/ \mathcal{I})$  generated by  $f_{\varpi^{\mu^{\vee}}}$  for every dominant cocharacter  $\mu^{\vee}$ . If  $\mu_1^{\vee}$  and  $\mu_2^{\vee}$  are dominant cocharacters then we have that

$$\ell\left(\varpi^{\mu_{1}^{\vee}\mu_{2}^{\vee}}\right) = \ell\left(\varpi^{\mu_{1}^{\vee}}\varpi^{\mu_{2}^{\vee}}\right) = \ell\left(\varpi^{\mu_{1}^{\vee}}\right) + \ell\left(\varpi^{\mu_{2}^{\vee}}\right),$$

and

(2.1) 
$$f_{\varpi^{\mu_1^{\vee}}} * f_{\varpi^{\mu_2^{\vee}}} = f_{\varpi^{\mu_1^{\vee}} \varpi^{\mu_2^{\vee}}} = f_{\varpi^{\mu_1^{\vee} \mu_2^{\vee}}}.$$

In particular  $R_{\mathcal{I}}^+$  is commutative. It can be shown from the relations of the generators of the Hecke algebra that  $f_w$  is invertible for any  $w \in \tilde{W}$ . Let  $R_{\mathcal{I}}$  be the algebra generated by  $f_{\varpi^{\mu^{\vee}}}$  and  $f_{\varpi^{\mu^{\vee}}}^{-1}$  for every dominant cocharacter  $\mu^{\vee}$ . It seems tempting to define a map

$$A(\varpi) \to R_{\mathcal{I}}^{\times}$$

by the formula

$$\varpi^{\mu^{\vee}} \mapsto f_{\varpi^{\mu^{\vee}}}.$$

However, this map will not be a group homomorphism  $A(\varpi) \to R_{\mathcal{I}}^{\times}$ . This can be fixed as follows. First let us define a normalization of  $f_w$ :

$$T_w = q^{-\frac{\ell(w)}{2}} f_w.$$

If  $\lambda^{\vee}$  is a cocharacter, we can write  $\lambda^{\vee} = \mu_1^{\vee} \cdot (\mu_2^{\vee})^{-1}$  where  $\mu_1^{\vee}$  and  $\mu_2^{\vee}$  are dominant cocharacters. We define a map

$$\begin{aligned} \theta \colon A\left(\varpi\right) &\to R_{\mathcal{I}}^{\times}, \\ \theta\left(\lambda^{\vee}\right) &= T_{\mu_{1}^{\vee}} * \left(T_{\mu_{2}^{\vee}}\right)^{-1} \end{aligned}$$

This is well defined because of (2.1). This map is now a homomorphism.

**Example 9.** If  $G = GL_n$  then if we denote

$$b_j = \begin{pmatrix} I_{j-1} & & \\ & \varpi & \\ & & I_{n-j} \end{pmatrix},$$

then

$$b_j = a_j \cdot a_{j-1}^{-1},$$

and

$$\theta\left(b_{j}\right) = T_{a_{j}} * \left(T_{a_{j-1}}\right)^{-1}.$$

One now needs to express  $a_{j-1}$  as a product of  $h^{-j}$  and of the generators  $s_1, \ldots, s_{n-1}$  in order to be able to write an expression for  $(T_{a_{j-1}})^{-1}$  as a product of the inverses of the

corresponding generators. In turn, these inverses are computed using the quadratic relations of the Hecke algebra.

**Theorem 10** (Bernstein–Zelevinsky presentation). Let *s* be any one of the generators of  $W_{\text{aff}}$  (as a Coxeter group). For any cocharacter  $\lambda^{\vee}$  we have that  $\theta(\lambda^{\vee}) - \theta(s(\lambda^{\vee}))$  is divisible by  $1 - \theta(\alpha^{\vee})^{-1}$  in the ring  $R_{\mathcal{I}}$  and the following equality holds:

$$\begin{split} \theta\left(\lambda^{\vee}\right)T_{s} - T_{s}\theta\left(s\left(\lambda^{\vee}\right)\right) &= T_{s}\theta\left(\lambda^{\vee}\right) - \theta\left(s\left(\lambda^{\vee}\right)\right)T_{s} \\ &= \left(q^{\frac{1}{2}} - q^{-\frac{1}{2}}\right)\frac{\theta\left(\lambda^{\vee}\right) - \theta\left(s\left(\lambda^{\vee}\right)\right)}{1 - \theta\left(\alpha^{\vee}\right)^{-1}}, \end{split}$$

where  $\alpha^{\vee}$  is a certain fundamental cocharacter on which s acts by  $s(\alpha^{\vee}) = (\alpha^{\vee})^{-1}$ .

**Example 11.** When  $G = \operatorname{GL}_n$ , recall that  $\lambda^{\vee}$  is parameterized by  $\lambda^{\vee} = \mu_{(k_1,\ldots,k_n)}^{\vee}$  where  $k_1,\ldots,k_n \in \mathbb{Z}$ . We have that  $W = \langle s_i \mid 1 \leq i \leq n \rangle \cong S_n$  acts on  $\lambda^{\vee}$  by permuting the coordinates  $(k_1,\ldots,k_n) \in \mathbb{Z}$ . In this case,  $s = s_k$  for some k and

$$\alpha^{\vee} = \alpha_k^{\vee} = (0, 0, \dots, 0, -1, 1, 0, \dots, 0)$$

is the cocharacter corresponding to the matrix

$$\begin{pmatrix} I_{k-1} & & & \\ & \varpi^{-1} & & \\ & & \varpi & \\ & & & I_{n-k-1} \end{pmatrix},$$

so  $\theta(\alpha^{\vee}) = T_{a_{k-1}} * T_{a_{k+1}} * T_{a_k}^{-2}$ .

2.2. Center of  $\mathcal{H}(G /\!\!/ \mathcal{I})$ . From the Bernstein–Zelevinsky relation, the following description of the center of  $\mathcal{H}(G /\!\!/ \mathcal{I})$  can be concluded.

**Theorem 12.** The center of  $\mathcal{H}(G /\!\!/ \mathcal{I})$  is the subspace of  $R_{\mathcal{I}}^+$  consisting of elements of the form

$$\sum_{\mu^{\vee}} a_{\mu^{\vee}} \theta\left(\mu^{\vee}\right)$$

such that  $a_{w\mu^{\vee}} = a_{\mu^{\vee}}$  for every  $w \in W$  and cocharacter  $\mu^{\vee}$ . In particular, this center is isomorphic to  $\mathbb{C}[A(\varpi)]^W$ .

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